



PATENT
Attorney Docket No. 52493.000230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :)	
)	
Allison STOLTZ)	
)	Group Art Unit: 2163
Serial No.: 10/022,438)	
)	Examiner: Unknown
Filed: December 20, 2001)	
)	

For: SYSTEM AND METHOD FOR RISK ASSESSMENT

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION and ASSIGNMENT FOR RECORDATION**

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION**

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed January 28, 2002, Applicants submit herewith an executed Joint Declaration for filing in the cited patent application, along with the required fee for late filing of the Declaration. Accordingly, it is respectfully requested that a filing date of December 20, 2001 be granted to this application.

ASSIGNMENT FOR RECORDATION

Also enclosed is an executed Assignment document and Assignment Recordation cover sheet. The Assignment Recordation fee of \$40.00 is included in the attached check.

FEES

Submitted herewith is a check in the amount of \$170.00 (\$40.00 to cover the filing fee for the Assignment Recordation and \$130 to cover the declaration surcharge). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 50-0206.

Respectfully submitted,
HUNTON & WILLIAMS

By: 

Ozzie A. Farres
Registration No. 43,606

1900 K Street, N.W., Suite 1200
Washington, D.C. 20006-1109
(202) 955-1500 (Telephone)
(202) 778-2201 (Facsimile)

Dated: 2/8/02

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,438	12/20/2001	Allison Stoltz	52493.000230

CONFIRMATION NO. 5099

21967
HUNTON AND WILLIAMS
1900 K STREET N W
WASHINGTON, DC 20006

FORMALITIES LETTER



OC000000007375257

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT
Attorney Docket No. 52493.000230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inter Patent Application of :)
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Allison STOLTZ)
) Group Art Unit: 2163
Serial No.: 10/022,438)
) Examiner: Unknown
Filed: December 20, 2001)
)

For: SYSTEM AND METHOD FOR RISK ASSESSMENT

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

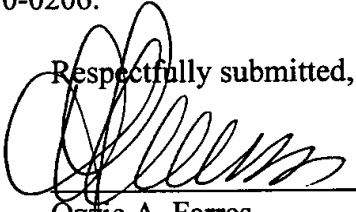
The following are enclosed for consideration in the above-identified application:

	FEE
<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application	\$
<input checked="" type="checkbox"/> Executed Joint Declaration: <input checked="" type="checkbox"/> Original; <input type="checkbox"/> Substitute; Assignment <input checked="" type="checkbox"/> ; Assignment Cover Sheet <input checked="" type="checkbox"/>	\$ 170.00
<input type="checkbox"/> Submission of Formal Drawings: _____ Sheets of Figures _____	\$
<input type="checkbox"/> Information Disclosure Statement, Form PTO-1449, copy of International Search Report, and references	\$
<input type="checkbox"/> Amendment: <input type="checkbox"/> Preliminary; <input type="checkbox"/> § 116; <input type="checkbox"/> § 312; <input type="checkbox"/> § 1.116	\$
<input type="checkbox"/> Request for Petition for Extension of Time Under 37 CFR § 1.136(a)	\$
<input type="checkbox"/> Issue Fee: <input type="checkbox"/> Part B - Issue Fee Transmittal <input type="checkbox"/> Part C - Charge to Deposit Account	\$
<input type="checkbox"/> Request for Oral Hearing	\$
<input type="checkbox"/> Reply Brief	\$
<input type="checkbox"/> No additional claim fee is required	\$
TOTAL FEES BEING SUBMITTED	\$ 170.00

	Claims Remaining	Claims Paid For	Extra	Rate	Fee
Total Claims				x \$18.00	\$ 0.00
Independent Claims				x \$78.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 260.00	\$
TOTAL EXCESS CLAIMS FEE					\$ 0.00
TOTAL FEES BEING SUBMITTED					\$ 170.00

A check in the amount of \$170.00 is enclosed herewith. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and § 1.17 associated with this communication or credit any overpayment to the deposit account of Hunton & Williams, Deposit Account Number 50-0206.

Dated: 2/8/02

Respectfully submitted,

By: _____
Ozzie A. Farres
Reg. No. 43,606

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